

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
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Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/201,484	11/30/98	CARPENTER	J 3382-51386

KLARQUIST SPARKMAN CAMPBELL  
LEIGH & WHINSTON  
ONE WORLD TRADE CENTER SUITE 1600  
121 S W SALMON STREET  
PORTLAND OR 97204-2988

0242/0108

NOT ASSIGNED

DATE MAILED:

01/08/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1574.

☒ 1. The statutory basic filing fee is:

- ☒ missing.
- ☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 384, including any multiple dependent claim fees, are required.

\$ 312 for 4 independent claims over 3.  
\$ 72 for 4 dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
- ☒ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

03/11/1999 MTEKLENT 00000132 09201484

01 FC:201 An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the inventor(s), identifying the application by the above Application Number and Filing Date, is required.  
02 FC:205  
03 FC:299

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

03/29/1999 MTEKLENT 00000132 09201484

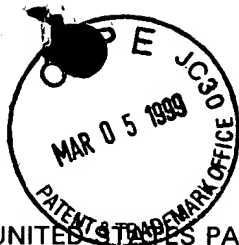
☐ 9. OTHER: 130.00 CH 314.00 OP  
01 FC:101  
02 FC:105

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center

11/1999 MTEKLENT 00000132 09201484  
C:201  
C:205  
C:299



Section 1/8

PATENT

Attorney's Matter No. 3382-51386/WYC/DJC:ah

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Art Unit: 2713

Inventor(s): J Wiltse Carpenter, Timo Bruck, Lee S. Mighdoll and Phillip Y. Goldman

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on March 1, 1999, as First Class Mail in an envelope addressed to: BOX MISSING PARTS, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON D.C. 20231.

*Donna Jo Coningsby*  
Attorney for Applicant

Application No.: 09/201,484

Filed: November 30, 1998

For: PROXY FOR VIDEO ON DEMAND SERVER CONTROL

Examiner:

Date: March 1, 1999

TRANSMITTAL LETTER

TO THE ASSISTANT COMMISSIONER FOR PATENTS  
Washington, DC 20231

Enclosed for filing in the application referenced above are the following:

- ☒ Copy of Notice to File Missing Parts of Application--Filing Date Granted
- ☒ Filing Fee of \$384.00
- ☒ Assignment and Recordal Fee of \$40.00
- ☒ Combined Declaration and Power of Attorney
- ☒ Surcharge for Late Filing of Fee or Oath or Declaration:
  - ☒ \$130.00 - All Others
- ☒ A check in the amount of \$554.00 to cover the above-listed fees.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit over-payment, to Account No. 02-4550. A copy of this sheet is enclosed.
- ☒ Please return the enclosed postcard to confirm that the items listed above have been received.

Respectfully submitted,

KLARQUIST SPARKMAN CAMPBELL  
LEIGH & WHINSTON, LLP

By *Donna Jo Coningsby*  
Donna Jo Coningsby  
Registration No. 41,684

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cc: MS Docketing (MS Ref. No. 126644)  
William Y. Conwell, Esq.  
Judy Osborn  
Docketing Secretary  
Megan Thomas